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ATTORNEY DOCKET NO. 03848-00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Janet A. Warrington et al.

Serial No.: 09/950,016

Filed: September 10, 2001

For: METHODS FOR DETECTING AND
DIAGNOSING ORAL CANCER

Examiner:

Group Unit: 1641

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97-1.99, Applicants submit the references listed on the enclosed PTO form 1449. Copies of these references are enclosed. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56 and is being filed before receipt of a first Office Action on the merits.

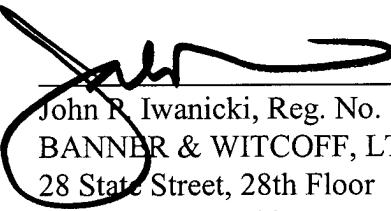
In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. § 102 or § 103.



Dated: April 22, 2002

Please apply any charges or credits to Deposit Account No. 19-0733.

Respectfully submitted,


John R. Iwanicki, Reg. No. 34,628
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Janet A. Warrington et al.) Examiner:
Serial No.: 09/950,016) Art Unit: 1641
Filed: September 10, 2001)
Title: METHODS FOR DETECTING AND)
DIAGNOSING ORAL CANCER)

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

In regard to the above identified application, we are transmitting herewith the attached:

1. Information Disclosure Statement,
2. Form PTO-1449,
3. Copies of fifty-seven (57) references, and
4. Return postcard.

No fees are believed due. The Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: April 22, 2002

By

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Attorney Docket No. 03848-00093

Serial No. 09/950,016

INFORMATION DISCLOSURE CITATION
Sheet 1 of 4

Applicants: Janet A. Warrington et al.

Filing Date: September 10, 2001

Group 1641

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U.S. PATENT DOCUMENTS

Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	AA	5,143,854	09/01/92	Pirrung et al.	436	518	03/07/90
	AB	5,384,261	01/24/95	Winkler et al.	436	518	1/22/91
	AC	5,424,186	06/13/95	Fodor et al.	435	6	6/12/95
	AD	5,445,934	08/29/95	Fodor et al.	435	6	09/30/92
	AE	5,556,752	09/17/96	Lockhart et al.	435	6	10/24/94
	AF	5,631,734	05/20/97	Stern et al.	356	317	05/20/97
	AG	5,677,195	10/14/97	Winkler et al.	436	518	11/20/92
	AH	5,708,153	01/13/98	Dower et al.	536	22.1	06/07/95
	AI	5,733,729	03/31/98	Lipshutz et al.	435	6	09/14/95
	AJ	5,744,305	04/28/98	Fodor et al.	435	6	06/06/95
	AK	5,770,358	06/23/98	Dower et al.	435	6	09/16/92

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Class	Subclass	Translation	
							YES	NO
	AL							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

AM	Alizadeh et al., "Distinct types of diffuse large B-cell lymphoma identified by gene expression profiling," <i>Nature</i> , 403:503-511 (2000)
AN	Alon et al., "Broad patterns of gene expression revealed by clustering analysis of tumor and normal colon tissues probed by oligonucleotide arrays," <i>Proc. Nat'l. Acad. Sci. U.S.A.</i> , 96:6745-50 (1999)
AO	Barringer et al., "Blunt-end and single-strand ligations by <i>Escherichia coli</i> ligase: . . .," <i>Gene</i> , 89:117 (1990)
AP	Fodor et al., "Light-Directed, Spatially Addressable Parallel Chemical Synthesis," <i>Science</i> , 251:767-772 (Feb. 14, 1991)
AQ	Golub et al., "Molecular Classification of Cancer: . . .," <i>Science</i> , 286:531-537 (1999)
AR	Guatelli et al., "Isothermal, <i>in vitro</i> amplification of nucleic acids by a multienzyme reaction modeled after retroviral replication," <i>Proc. Natl. Acad. Sci. U.S.A.</i> , 87:1874-1878 (1990)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. **Copies of references not provided at the time of this submission.

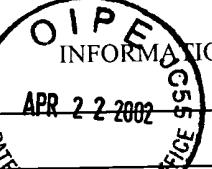
USPTO Form 1449 O I P R INFORMATION DISCLOSURE CITATION Sheet 2 of 4			U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 03848-00093		Serial No. 09/950,016	
					Applicants: Janet A. Warrington et al.			
					Filing Date: September 10, 2001		Group: 1641	
U.S. PATENT DOCUMENTS								
Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)		
BA	5,770,722	06/23/98	Lockhart et al.	536	25.3	06/23/98		
BB	5,789,162	08/04/98	Dower et al.	435	6	06/07/98		
BC	5,800,992	09/01/98	Fodor et al.	435	6	06/25/98		
BD	5,856,174	01/05/99	Lipshutz et al.	435	286.5	01/05/99		
BE	5,874,219	02/23/99	Rava et al.	435	6	04/09/96		
BF	5,922,591	07/13/99	Anderson et al.	435	287.2	06/27/96		
BG	5,981,956	11/09/99	Stern	250	458.1	05/15/97		
BH	6,013,440	01/11/00	Lipshutz et al.	435	6	03/10/97		
BI	6,013,449	01/11/00	Hacia et al.	435	6	11/26/97		
BJ	6,025,601	02/15/00	Trulson et al.	250	461.2	06/09/97		
FOREIGN PATENT DOCUMENTS								
Examiner Initial	Document No.	Date	Country	Class	Subclass	Translation		
						YES	NO	
BK								
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)								
BL	Jourenkova-Mironova et al., "Glutathione S-Transferase <i>GSTM1</i> , <i>GSTP1</i> , and <i>GSTT1</i> Genotypes . . .," <i>Int'l. J. Cancer</i> , 81:44-48 (1999)							
BM	Katoh et al., "Genetic Polymorphisms of Tobacco- and Alcohol-Related Metabolizing Enzymes and Oral Cavity Cancer," <i>Int'l. J. Cancer</i> , 83:606-609 (1999)							
BN	Kwoh et al., "Transcription-based amplification system and detection of amplified human immunodeficiency virus type 1 with a bead-based sandwich hybridization format," <i>Proc. Natl. Acad. Sci U.S.A.</i> , 86:1173-1177 (1989)							
BO	Landegren et al., "A Ligase-Mediated Gene Detection Technique," <i>Science</i> , 241:1077-1080 (1988)							
BP	Leethanakul et al., "Gene expression profiles in squamous cell carcinomas of the oral cavity: . . .," <i>Oral Oncol.</i> , 36:474-483 (2000)							
BQ	Lehninger, <i>Principles of Biochemistry</i> , pp. 793-800 (Worth Publishing, 1982)							
EXAMINER					DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. **Copies of references not provided at the time of this submission.</small>								

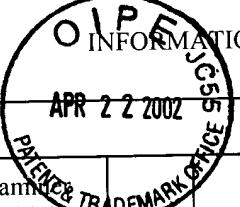
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 INFORMATION DISCLOSURE CITATION Sheet 3 of 4				Applicants: Janet A. Warrington et al.			
				Filing Date: September 10, 2001		Group: 164	
U.S. PATENT DOCUMENTS							
Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	CA	6,033,850	03/07/00	Purvis	435	6	03/14/95
	CB	6,040,138	03/21/00	Lockhart et al.	435	6	09/15/95
	CC	6,040,193	03/21/00	Winkler et al.	436	180	08/04/98
	CD	6,043,080	03/28/00	Lipshutz et al.	435	287.2	12/21/98
	CE	6,045,996	04/04/00	Cronin et al.	435	6	05/16/96
	CF	6,050,719	04/18/00	Winkler et al.	366	144	02/27/98
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Class	Subclass	Translation
							YES
	CG						
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
	CH	Lockhart et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays," <i>Nat'l. Biotechnol.</i> , 14:1675-1680 (1996)					
	CI	Luo et al., "Gene expression profiles of laser-captured adjacent neuronal subtypes," <i>Nature Med.</i> , 5(1):117-122 (1999)					
	CJ	Mahadevappa and Warrington, "A high-density probe array sample preparation method using 10- to 100-fold fewer cells," <i>Nat'l. Biotechnol.</i> , 17:1134-1136 (1999)					
	CK	Marshall, "Tumor Suppressor Genes," <i>Cell</i> , 64:313-326 (1991)					
	CL	Ohyama et al., "Laser Capture Microdissection-Generated Target Sample for High-Density Oligonucleotide Array Hybridization," <i>Biotechniques</i> , 29(3):530-536 (2000)					
	CM	Palazzolo et al., "Phage lambda cDNA cloning vectors for subtractive hybridization, fusion-protein synthesis and Creo-loxP automatic plasmid subcloning," <i>Gene</i> , 88:25-36 (1990)					
	CN	Park et al., "CYP1A1 and GSTM1 Polymorphisms and Oral Cancer Risk," <i>Cancer Epidemiol. Biomarkers Prev.</i> , 6:791-797 (1997)					
	CO	Perou et al., "Molecular portraits of human breast tumors," <i>Nature</i> , 406:747-752 (2000)					
	CP	Redfern et al., "Conditional expression of a G _i -coupled receptor causes ventricular conduction delay and a lethal cardiomyopathy," <i>Proc. Nat'l. Acad. Sci. U.S.A.</i> , 97:4826-4831 (2000)					
EXAMINER					DATE CONSIDERED		
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. **Copies of references not provided at the time of this submission.</small>							

USPTO Form 144 9		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 03848-00093		Serial No. 09/950,016	
 OPI INFORMATION DISCLOSURE CITATION Sheet 4 of 4		Applicants: Janet A. Warrington et al. Filing Date: September 10, 2001					
U.S. PATENT DOCUMENTS							
Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)	
	DA					APR 25 2001	
	DB					MAY 16 2002	
	DC					MAY 16 2002	
	DD					MAY 16 2002	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document No.	Date	Country	Class	Subclass	Translation	
						YES	NO
	DE						
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							
	DF	Sato et al., "Genetic polymorphism of drug-metabolizing enzymes and susceptibility to oral cancer," <i>Carcinogenesis</i> , 20:1927-1931 (1999)					
	DG	Sgroi et al., "In Vivo Gene Expression Profile Analysis of Human Breast Cancer Progression," <i>Cancer Res.</i> , 59:5656-5661 (1999)					
	DH	Shillitoe et al., "Genome-wide analysis of oral cancer — early results from the Cancer Genome Anatomy Project," <i>Oral Oncol.</i> , 36:8-16 (2000)					
	DI	Tamayo et al., "Interpreting patterns of gene expression with self-organizing maps: Methods and application to hematopoietic differentiation," <i>Proc. Natl. Acad. Sci. U.S.A.</i> , 96:2907-2912 (1999)					
	DJ	Warrington et al., "Large-Scale Genomic Analysis Using Affymetrix GeneChip® Probe Arrays," <i>Microarray Biochip Technology</i> , 6:119-148 (2000)					
	DK	Weinberg, "Tumor Suppressor Genes," <i>Science</i> 254:1138-1146 (1991)					
	DL	Wodicka et al., "Genome-wide expression monitoring in <i>Saccharomyces cerevisiae</i> ," <i>Nat'l. Biotechnol.</i> , 15:1359-1367 (1997)					
	DM	Wu and Wallace, "The Ligation Amplification Reaction (LAR) – Amplification of Specific DNA Sequences Using Sequential Rounds of Template-Dependent Ligation," <i>Genomics</i> , 4:560-569 (1989)					
	DN	Zhang et al., "Gene Expression Profiles in Normal and Cancer Cells," <i>Science</i> , 276:1268-1272 (1997)					
	DO						
	DP						
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